

10. Are budgetary controls in effect to precluding incurring obligations in excess of total funds available for a budget cost category?

Yes

No

SECTION B: FUND CONTROL

1. Is a separate bank account maintained for grant/contract funds?

Yes

No

2. If federal grant/contract funds are maintained in same bank account as fiscal agent funds, can the federal grant funds and related costs and expenses be readily identified?

Yes

No

SECTION C: COMMENTS/EXPLANATIONS

SECTION D: CERTIFICATION

I certify that the above information is complete and correct to the best of my knowledge.

Signature Date
SOC Applicant Agency, Executive Officer

Signature Date
SOC Fiscal Agent, Financial Officer
(If fiscal agent is not the applicant agency)